

## Komatsu's Whistleblowing System for Suppliers

Komatsu's Whistleblowing System for Suppliers (hereafter referred to as "Whistleblowing System") is intended to allow suppliers to consult with the Komatsu Group and report any problems that the Komatsu Group should correct, particularly violations of the Act against Delay in Payment of Subcontract Proceeds, Etc. to Subcontractors, to ensure the Komatsu Group maintains appropriate procurement activities and takes necessary corrective measures when problems are discovered and before they escalate.

### 1. Who can use the Whistleblowing System

In principle, consultation or reporting should be done by the supplier's management-level employees, reflecting the consensus of the organization.

### 2. Cases subject to consultation and reporting

If any of the following is suspected concerning the Komatsu Group's Procurement Division:

- (1) Violation of the Act against Delay in Payment of Subcontract Proceeds, Etc. to Subcontractors and other laws and regulations applicable to the relevant business relationship;
- (2) Violation of the "Three Priority Agendas for Future-oriented Business Practices" established by the Ministry of Economy, Trade and Industry;
- (3) Other acts that have a serious negative impact on the maintenance of long-term, trusting relationships  
(e.g., acts that violate the principle of fair competition and deviate from proper procedures, words and deeds that deny the other party's character and seriously injure their dignity).

Reports of matters unrelated to the above (such as slander and defamation of individuals) are strictly prohibited.

### 3. Contacting us

Please contact us using the "[Contact Us](#)" page on our website.

### 4. Response to reports

A neutral department will consult with the suppliers, taking great care to maintain confidentiality, and will conduct appropriate fact-finding investigations, etc.

### 5. Prohibition of disadvantageous treatment

The Komatsu Group guarantees that the Procurement Division and other divisions will not treat any supplier that makes a report in a disadvantageous manner. The same shall apply when the consultation or report is based on a misunderstanding and is inaccurate but not when the consultation or reporting is malicious, slanderous, or defamatory, or where it is made with the intent to disrupt proper business practices.